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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/998,667

Filing Date December 3, 2000

First Named Inventor Masuda, Esteban

Group Art Unit 1653

Examiner Name Not yet assigned

Total Number of Pages in This Submission

11

Attorney Docket Number

021044-000600US

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## ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/  
Incomplete Application

☐ Response to Missing  
Parts under 37 CFR  
1.52 or 1.53

☐ Assignment Papers  
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition Routing Slip (PTO/SB/69)  
and Accompanying Petition

☐ Petition to Convert to a  
Provisional Application

☐ Power of Attorney, Revocation  
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s)

☐ After Allowance Communication to  
Group

☐ Appeal Communication to Board of  
Appeals and Interferences

☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s)  
(please identify below)

Return Postcard; Information Disclosure  
Statement; PTO-SB/08A and SB/08B;  
copies of three references.

Remarks

The Commissioner is authorized to charge any additional fees to  
Deposit Account 20-1430.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
and  
Individual name

Townsend and Townsend and Crew LLP

Annette S. Parent

Reg. No. 42,058

Signature

Date

05/23/02

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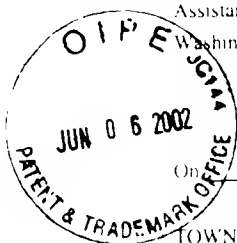
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On May 23, 2002  
TOWNSEND and TOWNSEND and CREW LLP

By [Signature]

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

MASUDA et al.

Application No.: 09/998,667

Filed: December 3, 2001

For: TRAC1: MODULATORS OF  
LYMPHOCYTE ACTIVATION

Examiner: Not yet assigned

Art Unit: 1653

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

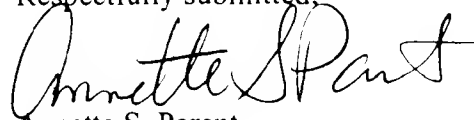
The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

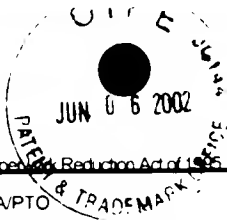
representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

  
Annette S. Parent  
Reg. No. 42,058

TOWNSEND and TOWNSEND and CREW LLP  
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San Francisco, California 94111-3834  
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ASP:dk



Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of     **Complete if Known**

Application Number	09/998,667
Filing Date	December 3, 2001
First Named Inventor	Masuda, Esteban
Art Unit	1653
Examiner Name	Not yet assigned
Attorney Docket Number	021044-000600US

**U.S. PATENT DOCUMENTS**

Examiner	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	US-			
	AB	US-			
	AC	US-			
	AD	US-			
	AE	US-			
	AF	US-			
	AG	US-			
	AH	US-			
	AI	US-			
	AJ	US-			
	AK	US-			
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COPY OF PAPERS  
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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	AU							
	AV							
	AW							
	AX							
	AY							
	AZ							
	BA							
	BB							

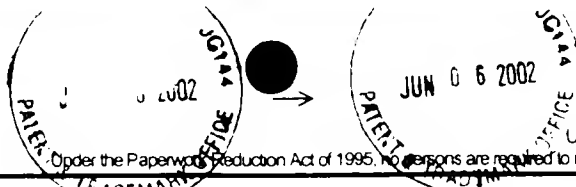
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<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1450 PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 2

of

**Complete if Known**

Application Number	09/998,667
Filing Date	December 3, 2001
First Named Inventor	Masuda, Esteban
Art Unit	1653
Examiner Name	Not yet assigned
Attorney Docket Number	021044-000600US

**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	BC	Accession No. XM-008732, submitted 06 February 2002, National Center for Biotechnology Information, NIH, Bethesda, MD 20894, USA	
	BD	Accession No. AK000463, submitted 15 February 2000, Sumio Sugano, Institute of Medical Science, University of Tokyo, Department of Virology, Shirokane-dai, 4-6-1, Minato-ku, Tokyo 108-8639, Japan	
	BE	Accession No. NM-017831, submitted 02/15/2000	
	BF		
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